

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE: U.S. DEPARTMENT OF COMMERCE (REV. 07-2004)		ATTORNEY'S DOCKET NUMBER <b>287.00050101</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/506984</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US03/06889</b>	INTERNATIONAL FILING DATE <b>7 March 2003</b>	PRIORITY DATE CLAIMED <b>8 March 2002</b>
TITLE OF INVENTION <b>COATINGS HAVING LOW VOLATILE ORGANIC COMPOUND CONTENT</b>		
APPLICANT(S) FOR DO/EO/US <b>Donald G. WIND; Robert M. O'BRIEN; Richard H. EVANS</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p><b>Items 13 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. <u>EV405490885US</u></li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol> <p><b>Itemized return-stamped postcard; Application Data Sheet (2 pages); Communication (1 page); and authorization to charge Deposit Account 13-4895 in the amount of \$802.00</b></p>		



Applicant(s): Wind et al.

DT03 Rec'd PCT/PTO 08 SEP 2004

Docket No.: 287.00050101

10/506984

Title: COATINGS HAVING LOW VOLATILE ORGANIC COMPOUND CONTENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

U.S. NATIONAL STAGE PATENT APPLICATION

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ Transmittal Letter to the United States DO/EO/US Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (2 signed copies, 2 pages each)  
☐ A signed/unsigned Declaration and Power of Attorney ( \_\_ pgs).  
☒ Application Data Sheet (2 pgs).  
☒ An itemized return postcard.  
☐ An Assignment of the invention to \_\_\_\_\_ and Recordation Form Cover Sheet.  
☐ Please charge Deposit Account in the amount of \$ to pay the Assignment Recording Fee.  
☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
☐ Computer readable form of "Sequence Listing." Applicants state that the paper copy form of the "Sequence Listing" section of the present application, and the computer readable form submitted herewith, are the same.  
☒ Other: International Search Report (3 pages) and Communication (1 page).  
☒ Please charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$802.00 to pay the filing fees.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By: 

Name: Loren D. Albin

Reg. No.: 37,763

Direct Dial: 612-305-1225

Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EV405490885US

Date of Deposit: 8 September 2004

I hereby certify that the Transmittal Letter and the paper(s) and/or fee(s), as described hereinabove, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: Jacquelyn K. Torborg

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.10)

10/506984  
PATENT

Docket No. 287.00050101 (Valspar Docket No. 06 1596 0101)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): WIND et al. ) Group Art Unit: Unknown  
)  
Serial No.: Unassigned ) Examiner: Unassigned  
(National Stage of PCT/US03/06889) )  
)  
For: COATINGS HAVING LOW VOLATILE ORGANIC COMPOUND CONTENT

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicants have checked the box on Form PTO-1390 for a Basic National Filing Fee Under 37 CFR 1.492(a) of \$730.00. However, an International Preliminary Examination Report (IPER) has not been issued in the corresponding (above-identified) international (PCT) application. Accordingly, Applicants are unaware of whether or not all claims satisfy the provisions of PCT Article 33(1)-(4). If an IPER subsequently issues indicating that all claims do in fact satisfy the provisions of PCT Article 33(1)-(4), Applicants request a refund in the amount of \$630.00 (the difference in fees under 37 CFR 1.482) be credited to Deposit Account No. 13-4895.

Please contact the undersigned attorney with any questions.

**CERTIFICATE UNDER 37 C.F.R. 1.10:**

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Jacquelyn K. Torborg*  
Jacquelyn K. Torborg

"Express Mail" mailing label number:

EV405490885US

Date of Deposit: 8 September 2004

Respectfully submitted for  
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*September 8, 2004*  
Date

By: *Loren D. Albin*  
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